



# State Farm Mutual Funds® Plan Transfer Agreement

State Farm Mutual Funds SEP IRA, SIMPLE IRA, or Retirement Plan Funding Program (RFPF). To be completed by the Plan Sponsor. This form is used to notify State Farm Mutual Funds of your intent to transfer assets to a State Farm Mutual Funds SEP IRA, SIMPLE IRA, or RFPF plan to qualify for a reduced sales charge.

If you have any questions or need information before completing this form, please call 1-800-447-4930.

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## 1 Plan Information

Plan Name: \_\_\_\_\_ Plan Number (if known): \_\_\_\_\_

Employer Tax Identification Number: \_\_\_\_\_

Anticipated Date of Transfer: \_\_\_\_\_

Please note: The Plan Transfer Agreement will begin on the date this form is received by State Farm Mutual Funds.

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## 2 Total Intended Plan Transfer Amount

Plan transfers made within 60 days of the execution of this agreement qualify for the level of sales charge associated with the level of investment specified in section 2(b) below.

### A. Please indicate the plan type being transferred.

For SEP IRA and SIMPLE IRA plans, each participant transferring assets must complete an *IRA Transfer Request Form* with each participant application. For RFPF transfers, the Plan Trustee must contact the current custodian to request the plan assets be transferred. RFPF transfers must have a letter of direction from the Plan Sponsor providing any special instructions for the investment of assets attached to this form.

- ☐ SEP IRA  
☐ SIMPLE IRA  
☐ Retirement Plan Funding Program

### B. \*\*Indicate the cumulative transfer amount expected for all plan participants during the 60-day period.

Plans must factor in existing plan assets (excluding Money Market) indicating the total intended plan transfer amount.

- ☐ \$25,000 - \$49,999   ☐ \$100,000 - \$199,999   ☐ \$300,000 - \$399,999   ☐ \$500,000 or more  
☐ \$50,000 - \$99,999   ☐ \$200,000 - \$299,999   ☐ \$400,000 - \$499,999

*\*\*Future Contributions cannot be factored into your total Plan Transfer Agreement amount, but future contributions will receive the qualifying reduced sales charge while the Plan Transfer Agreement is active.*

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## 3 Plan Sponsor Acknowledgement

By signing below, as Plan Sponsor, I agree to the best of my knowledge that the information on this form is current and accurate. I understand this form must accompany an IRA Transfer Request Form for SEP IRA AND SIMPLE IRA requests or a letter of direction for RFPF requests. I understand that no future contributions will be factored into the total plan transfer amount, but am aware contributions made while this Plan Transfer Agreement is active will receive the same breakpoint as the transferred assets. I am also aware that *Rights of Accumulation* will dictate future breakpoints once this plan transfer expires.

\_\_\_\_\_  
Plan Sponsor Signature

\_\_\_\_\_  
Date

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## 4 Delivery Instructions

When requesting a plan to plan transfer, please instruct your current custodian to remit plan assets using one of the methods listed below. If you do not have a plan number, one will be assigned to you at the time the plan is established with State Farm Mutual Funds.

**State Farm Mutual Funds can only accept cash assets in the form of a check or wire. State Farm Mutual Funds cannot accept Stock Certificates, Transfers In-Kind, DTC or ACAT.**

**Mail:**

State Farm Mutual Funds  
FBO: Client Name  
Plan # (if known)  
PO Box 219548  
Kansas City, Missouri 64121-9548

**Overnight:**

State Farm Mutual Funds  
FBO: Client Name  
Plan # (if known)  
430 W 7th Street  
Kansas City, Missouri 64105

**Wire Transfer:**

JP Morgan Chase Bank  
ABA Routing Number 0710-0001-3  
Account Number: 6227 12743  
Springfield, Illinois  
Further Credit: Client Name & State Farm  
Mutual Fund Plan Number (if known)